K-TRACS

KANSAS TRACKING AND REPORTING OF CONTROLLED SUBSTANCES

PMP Status Update
General PMP Updates

- SAMHSA has granted our request for a NCE (no cost extension) enabling us to spend the grant funds through 9/30/15.

- Have added Utah to Kansas data sharing through PMPi.

- Mailed out 358 K-TRACS intro letters to new licensees: (146 doctors, 103 pharmacists, 84 APRNs, 25 dentists).

- KTRACS patient queries (July snapshot):
  - Web requests: 1194 per day
  - Web + PMPi requests: 4,556 per day (includes Via Christi)
  - Multiple patient consolidations: 1.36% (98.64% success rate)
    - Appriss can detail the algorithm used to automatically match and consolidate patients.
<table>
<thead>
<tr>
<th>Category</th>
<th>3Q13</th>
<th>4Q13</th>
<th>1Q14</th>
<th>2Q14</th>
</tr>
</thead>
<tbody>
<tr>
<td>Registered Prescribers</td>
<td>3828</td>
<td>4003</td>
<td>4162</td>
<td>4304</td>
</tr>
<tr>
<td>Registered Pharmacists</td>
<td>1698</td>
<td>1770</td>
<td>1831</td>
<td>1921</td>
</tr>
<tr>
<td>Patients 5/5/90</td>
<td>236</td>
<td>218</td>
<td>211</td>
<td>207</td>
</tr>
<tr>
<td>Solicited Reports to Prescribers</td>
<td>18646</td>
<td>24104</td>
<td>31732</td>
<td>41835</td>
</tr>
<tr>
<td>Solicited Reports to Pharmacists</td>
<td>22080</td>
<td>30190</td>
<td>36370</td>
<td>41279</td>
</tr>
<tr>
<td>Solicited Reports to Law Enforcement</td>
<td>5</td>
<td>27</td>
<td>19</td>
<td>44</td>
</tr>
<tr>
<td>Solicited Reports to Regulatory Agencies</td>
<td>12</td>
<td>32</td>
<td>32</td>
<td>0</td>
</tr>
<tr>
<td>Solicited Reports to Medical Examiners</td>
<td>2</td>
<td>3</td>
<td>4</td>
<td>6</td>
</tr>
<tr>
<td>Solicited Reports to Drug Treatment</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Solicited Reports to Drug Courts</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Solicited Reports to Other End Users</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Unsolicited Reports to Prescribers</td>
<td>4255</td>
<td>6198</td>
<td>1515</td>
<td>5452</td>
</tr>
<tr>
<td>Unsolicited Reports to Pharmacist</td>
<td>572</td>
<td>631</td>
<td>433</td>
<td>634</td>
</tr>
<tr>
<td>Solicited Reports to Prescribers Out of State</td>
<td>452</td>
<td>463</td>
<td>609</td>
<td>714</td>
</tr>
<tr>
<td>Solicited Reports to Pharmacist Out of State</td>
<td>252</td>
<td>254</td>
<td>375</td>
<td>480</td>
</tr>
<tr>
<td>Unsolicited Reports to Prescribers Out of State</td>
<td>897</td>
<td>1308</td>
<td>202</td>
<td>1031</td>
</tr>
<tr>
<td>Unsolicited Reports to Pharmacist Out of State</td>
<td>69</td>
<td>138</td>
<td>53</td>
<td>123</td>
</tr>
</tbody>
</table>
PMP Threshold Data

- Threshold data (5/5/90):
  - 3Q13: 308
  - 4Q13: 276 (10.4% decrease)
  - 1Q14: 223 (19.2% decrease)
  - 2Q14: 258 (13.6% increase)

- The 258 patients generated 3485 letters – mailed out on 7/18.

- Top diverter saw 46 prescribers and 27 dispensers (new record!). Has been in the top 20 for four straight quarters.
Patients Meeting 5/5/90 Threshold

<table>
<thead>
<tr>
<th>Quarter</th>
<th># Patients</th>
</tr>
</thead>
<tbody>
<tr>
<td>3Q13</td>
<td>300</td>
</tr>
<tr>
<td>4Q13</td>
<td>275</td>
</tr>
<tr>
<td>1Q14</td>
<td>225</td>
</tr>
<tr>
<td>2Q14</td>
<td>250</td>
</tr>
</tbody>
</table>
Peer Patient Review

- Appriss supplied new peer data on 4/30 (for 1Q14).

- Requested 2Q14 data from Appriss and expecting it soon.

- Need to schedule peer review group to review data and make any referrals to the KBOH.
PMP Data Submitter’s Reporting Compliance

- Have intern entering DEA numbers in KTRACS software to assist Appriss with running compliance reports.

- Mailed 425 letters in April to pharmacies alerting them of non-compliance. List generated from Appriss report. Resulted in hundreds of calls, e-mails and new exemption request forms.

- Worked with Appriss to revise report (7/17) to show multiple status for non-compliance: no reporting, intermittent reporting.
PMP Data Submitter’s Reporting Compliance

- Revised report results:
  - 248: Current and not delinquent
  - 421: 1 day delinquent (no concern)
  - 60: 2 days delinquent
  - 52: 3 days delinquent
  - 2: 4 days delinquent
  - 33: 5-10 days delinquent
  - 39: 11-100 days delinquent
  - 58: 100+ days delinquent
  - 36: No Current DEA number
  - 110: Have never reported to the Clearinghouse

- Revised letter for multiple statuses will be mailed out over the next few weeks. Goal is to stagger 390 letters to reduce call volume for KTRACS and Appriss staff.
Via Christi Update

- VC implemented their new EMR on June 1st with Cerner
- VC then automated KTRACS queries expanding to qualified patients.
- PMP query volume has tripled!
Kansas PMP Initiatives

KTRACS Queries for 2014

- Jan: 15986
- Feb: 13675
- Mar: 15373
- Apr: 15323
- May: 16243
- Jun: 21781
- Jul: 63446

- Red/Yellow Responses:
  - Jan: 4981
  - Feb: 4208
  - Mar: 4924
  - Apr: 5147
  - May: 5489
  - Jun: 6629
  - Jul: 19333
Kansas PMP Initiatives
LACIE (Lewis And Clark Information Exchange)

- Cerner finishing software development work.
- Starting first hospital pilot in August
- Children’s Mercy Hospital in Kansas City.
- Ten ER Physicians/APRNs have been registered and approved for the pilot.
- Need to discuss KTRACS registration process considering credentialing / vetting.
KHIN (Kansas Health Information Network)

- MOU has been signed. KHIN will have to implement agreement/MOU with VCH.

- Via Christi has been approved by VCH leadership to support KHIN development effort. VC IT plans to begin development by 9/8/14 at a cost of $45.1K. Development will take up to 90 days.

- ICA has provided their draft SOW (statement of work), with a cost estimate of $24.5K. ICA will be finished with their development work when VC is done.

- The pilot could begin in December.
Pharmacy Partner

- Have confirmed grant funds are still available and can be moved into second year of grant. A total of $162K has been set aside for this effort.
- Will still need to get “prior-authorization approval from D of A to proceed.
- Received revised MOU from Kroger on 7/1/14 for review and approval by our Pharmacy Board. General counsel is reviewing now.
- SAMHSA has approved plan to pass grant funds on to Kroger.
- Ohio and South Carolina are the other two states working with Kroger.
PMP Interconnect Steering Committee Meeting

- Slowly adding more states to Hub.
- Have 26 states now with Utah just coming on board. Expecting four more by the end of 2014 for a total of 30.
- Looking at “Hub MOU” to connect PMPi hub to others such as PMIX.
- Appriss working on “Gateway” to allow individual facilities to connect to hub with minimal IT investment. Will require facility to be “approved” by each state’s PMP they want to query from.
Kansas PMP Initiatives

Harold Rogers Grant Ideas/Projects

- Requested NCE (no cost extension) and was approved to extend grant spending until 9/30/15 ($400K).

- KDADs partnering with KBOP for pilot to assist with patients hitting PMP 5/5/90 threshold. (rehabilitation / counseling). Stacy has provided draft MOU and budget.

- Met with Frank Papish on 7/28 to clarify KBI pilot goals. Will target an “Intel Analyst” to research individuals provided from 5/5/90 threshold. Will determine if there is enough to open a case on them. Frank to draft scope and budget.

- Will need the peer/patient subcommittee to be active and timely to provide analysis and names for both KDADs and KBI pilots.
Upcoming travel plans include:

- August – DC for SAMHSA “Rx Drug Policy Academy”.
- Sept - DC for Harold Rogers grant meeting.
- Sept - KC for KDOL presentation on K-TRACS.
- Sept – KC for DEA National Conference on Pharmaceutical & Chemical Diversion
- Oct - GA for NASCSA annual meeting.
- Nov – KY visit Appriss facility (5 state meeting)
## Prescription Drug Policy Academy Roster

**Washington DC, August 11-13**

<table>
<thead>
<tr>
<th>Name</th>
<th>Organization</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sarah Fischer</td>
<td>KDADS</td>
</tr>
<tr>
<td>Carla Drescher</td>
<td>KDADS</td>
</tr>
<tr>
<td>Gina Meier Hummel</td>
<td>KDADS</td>
</tr>
<tr>
<td>Dianne Keech</td>
<td>DCF</td>
</tr>
<tr>
<td>Susan Gile</td>
<td>DCF</td>
</tr>
<tr>
<td>Lori Haskett</td>
<td>KDHE</td>
</tr>
<tr>
<td>Marty Singleton</td>
<td>Board of Pharmacy</td>
</tr>
<tr>
<td>Heather Davis</td>
<td>PBP Director of Social Services</td>
</tr>
</tbody>
</table>
| Lisa Chaney         | Greenbush- Director of Evaluation Services-KS Epidemiological Profile  
                      | Workgroup Representative    |
| Callie Grantham     | KC Methadone Clinic         |